

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e application of

Confirmation No. 7019

Chikashi INOKUCHI et al.

Docket No. 2001 1701A

Serial No. 09/987,384

Group Art Unit 2652

Filed November 14, 2001

Examiner Peter V. Agustin

OPTICAL DISC APPARATUS AND METHOD FOR READING INFORMATION

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- each U.S. Patent and U.S. Patent application publication (for U.S. National applications filed after June 30, 2003 and International applications that have entered U.S. National Stage after June 30, 2003),
 each reference previously cited in the international application PCT/______; and/or
 each reference previously cited in prior parent application Serial No.
- 1a. [] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [X] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [X] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
 - a. [X] that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
 - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

- Consideration of the following list of additional information (including any copending or 3. [] abandoned U.S. application, prior uses and/or sales, etc.) is requested.
- For each non-English language reference listed on the attached form PTO-1449, reference 4. is made to:
 - a. [] a full or partial English language translation submitted herewith,
 - b. [X] a foreign patent office search report (in the English language) submitted herewith,
 - c. [] the concise explanation contained in the specification of the present application at page,
 - d. [X] the concise explanation set forth in the attached English language abstract,
 - the concise explanation set forth below or on a separate sheet attached to the e. [X] reference:

U.S. 6,519,214 corresponds to JP 2000-113463

5. [] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Chikashi INOKUCHI et al.

David M. Ovedovitz Registration No. 45,336

Attorney for Applicants

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FORM PTO 1449 (m	ATTY DOC 2001 17		SERIAL NO. 09/987,384								
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT						40.71.	
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				Chikashi INOKUCHI et al.				 \3	JUL 0 1 201		
Date	FILING DATE November 14, 2001			GROUP 2652 PAGENARY							
U.S. PATENT DOCUMENTS											
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CL	ASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	АА	6,519,214	2/2003	ŀ	Hikima						
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FOREIGN PATENT DOCUMENTS											
		DOCUMENT NUMBER	DATE	C	COUNTRY CLASS SUBCLASS		TRANSLA YES	TRANSLATION YES NO			
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OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)											
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Sheet 1 of 1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.